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Page 1 of 1

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,476	11/16/2001	G. William Walster	SUN-P7076-SPI

CONFIRMATION NO. 3163

22835  
PARK, VAUGHAN & FLEMING LLP  
508 SECOND STREET  
SUITE 201  
DAVIS, CA 95616

FORMALITIES LETTER



\*OC000000007149500\*

Date Mailed: 12/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.
  - \$18 for 1 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 888.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/06/2002 EMBRANH1 00000046 09991476

01 FC:101	740.00 DP
02 FC:105	130.00 DP
03 FC:103	18.00 DP



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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 12, 2001

Wendy Dial

(Typed or Printed Name of Person Mailing Paper or Fee)

Wendy Dial  
(Signature of Person Mailing Paper or Fee)

**PATENT**  
**Attorney Docket No. SUN-P7076-SPL**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF )

Walster et al. )

Serial No. 09/991,476 )

Filing Date: November 16, 2001 )

Title: APPLYING TERM CONSISTENCY TO THE )  
SOLUTION OF UNCONSTRAINED )  
INTERVAL GLOBAL OPTIMIZATION )

Examiner: *Unassigned*

Group Art Unit: 2121

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

Assistant Commission for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application dated  
December 3, 2001, enclosed please find:

- ☐ a Combined Declaration and Power of Attorney signed by the inventor(s);
- ☒ a late filing surcharge of ☐ \$65.00 ☒ \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☐ a Declaration Claiming Small Entity Status, and a Request for Refund;
- ☐ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k);
- ☒ an Assignment document, Form PTO-1595, and the \$40.00 Assignment Recording Fee;
- ☐ Revocation & New Power of Attorney by Assignee of Entire Interest;